

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of : B. DISSE ) Art Unit: 1614  
U.S. Appln. No. : To be assigned ) Examiner: V. Y. Kim  
Confirmation No. : To be assigned  
U.S. Filing Date : August 20, 2003  
Title of Invention : COMPOUNDS FOR TREATING INFLAMMATORY DISEASES  
Attny. Docket No. : 1/1196-1-C1

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

August 20, 2003

**TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT**

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement (Form 1449A/B) under 37 C.F.R. §§1.56 and 1.97, as more specifically described hereinbelow.

☒ 1.97(b). This Statement is being filed: i) within three (3) months of the filing date of a national application other than a continued prosecution application under 33 C.F.R. §1.53 (d); ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; iii) before the mailing of a first Office action on the merits; or iv) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of: i) a final action under 37 C.F.R. §1.113, ii) a notice of allowance under 37 C.F.R. §1.311, or iii) an action that otherwise closes prosecution in the application. This Statement is being accompanied by:

☐ A statement as specified in 37 C.F.R. §1.97(e) [see below]; or

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.97(d). This Statement is being filed after the period specified in 37 C.F.R. §1.97(c) but on or before payment of the issue fee. This Statement is accompanied by a statement as specified in 37 C.F.R. §1.97(e) [see below] and the fee set forth in 37 C.F.R. §1.17(p).

☐ 1.97(e).

☐ Each item of information contained in the instant information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the instant information disclosure statement; or

☐ No item of information contained in the instant information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the instant information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of the instant information disclosure statement.

☐ The fee set forth in 37 C.F.R. §1.17(p).

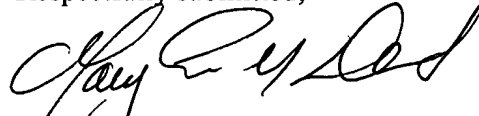
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☐ 1.704(d). Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, which communication was not received by any individual designated in section 1.56(c) more than thirty (30) days prior to the filing of the accompanying information disclosure statement.

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Triplicate copies of this form are enclosed.

Respectfully submitted,



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Attorney for Applicant(s)  
Reg. No. 27,928

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

|                        |                 |
|------------------------|-----------------|
| Application Number     | To be assigned  |
| Filing Date            | August 19, 2003 |
| First Named Inventor   | Bernd Disse     |
| Art Unit               | 1614            |
| Examiner Name          | V.Y. Kim        |
| Attorney Docket Number | 1/1196-1-C1     |

| U. S. PATENT DOCUMENTS |                          |  |                                |  |   |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document     | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          |                          | WO 00/47200   | 08/17/2000                        | Novartis-Erfindungen<br>Verwaltungsgesellschaft M.B.H. |   |                |
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Examiner  
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                 |
|   |   |    |   | Application Number       | To be assigned  |
|   |   |    |   | Filing Date              | August 19, 2003 |
|   |   |    |   | First Named Inventor     | Bernd Disse     |
|   |   |    |   | Art Unit                 | 1614            |
|   |   |    |   | Examiner Name            | V.Y. Kim        |
| Sheet   | 2 | of | 2 | Attorney Docket Number   | 1/1196-1-C1     |

| OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials*                              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|   |                       | WITEK T. J., JR: "Anticholinergic Bronchodilators." RESPIRATORY CARE CLINICS OF NORTH AMERICA. UNITED STATES DEC 1999, Bd. 5, Nr. 4, December 1999 pages 521-536 XP008014468, ISSN: 1078-5337   |                |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
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